

FL & CV

Presenter: Ziqi Zhou

Mentor: Dr.Hao Wang

What is federated learning?

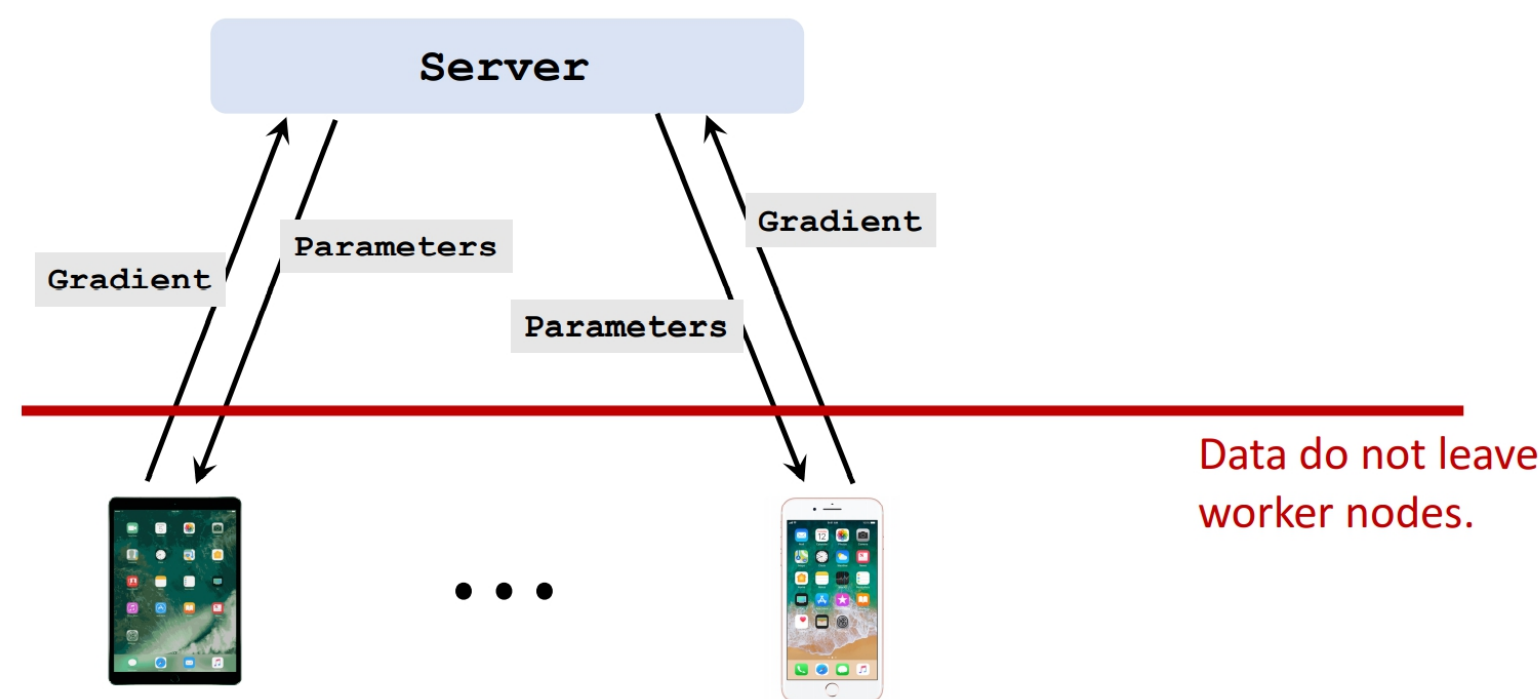
Federated learning is a kind of distributed learning.

How does federated learning differ from traditional distributed learning?

- 1. Users have control over their device and data.
- 2. Worker nodes are unstable.
- 3. Communication cost is higher than computation cost.
- 4. Data stored on worker nodes are not IID.
- 5. The amount of data is severely imbalanced.

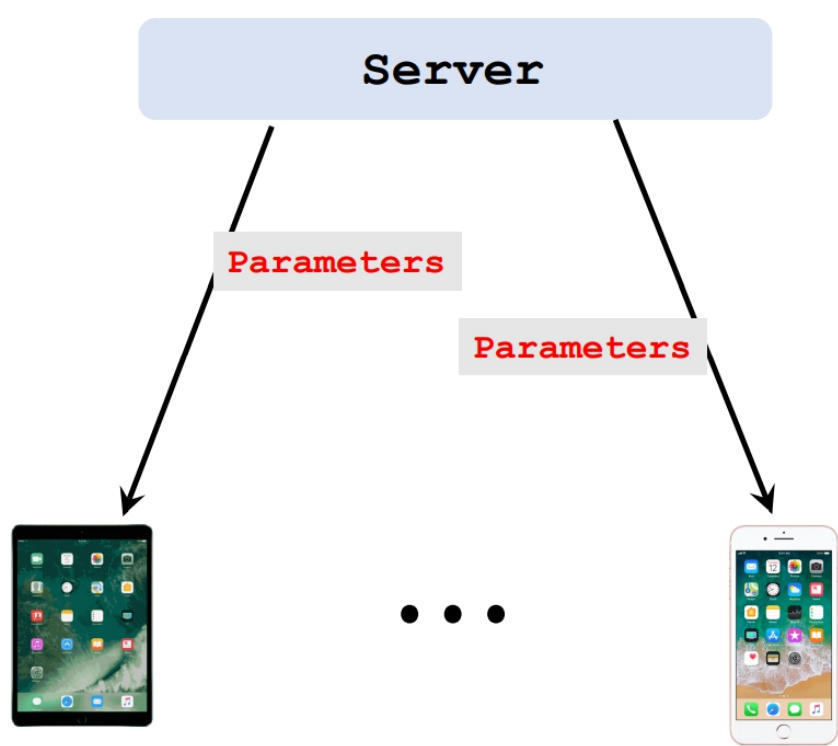
Motivation

When we are doing CV tasks like facial expressions recognition, requiring large amount of data from clients, privacy becomes an unavoidable problem. So it’s quite necessary for us to figure out a way that doesn’t require data sharing. And federated learning would be a direction worth trying.



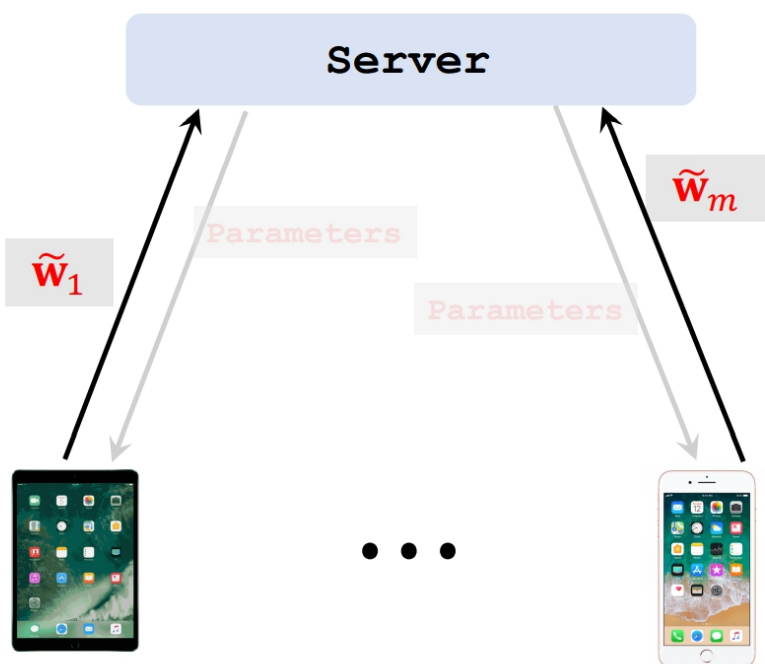
Computation vs. Communication

Federated Averaging Algorithm



The i -th worker performs:

1. Receiving model parameters \mathbf{w} from the server.
2. Repeating the followings:
 - a) Using \mathbf{w} and its local data to compute gradient \mathbf{g} .
 - b) Local update: $\mathbf{w} \leftarrow \mathbf{w} - \alpha \cdot \mathbf{g}$.
3. Sending $\tilde{\mathbf{w}}_i = \mathbf{w}$ to the server.

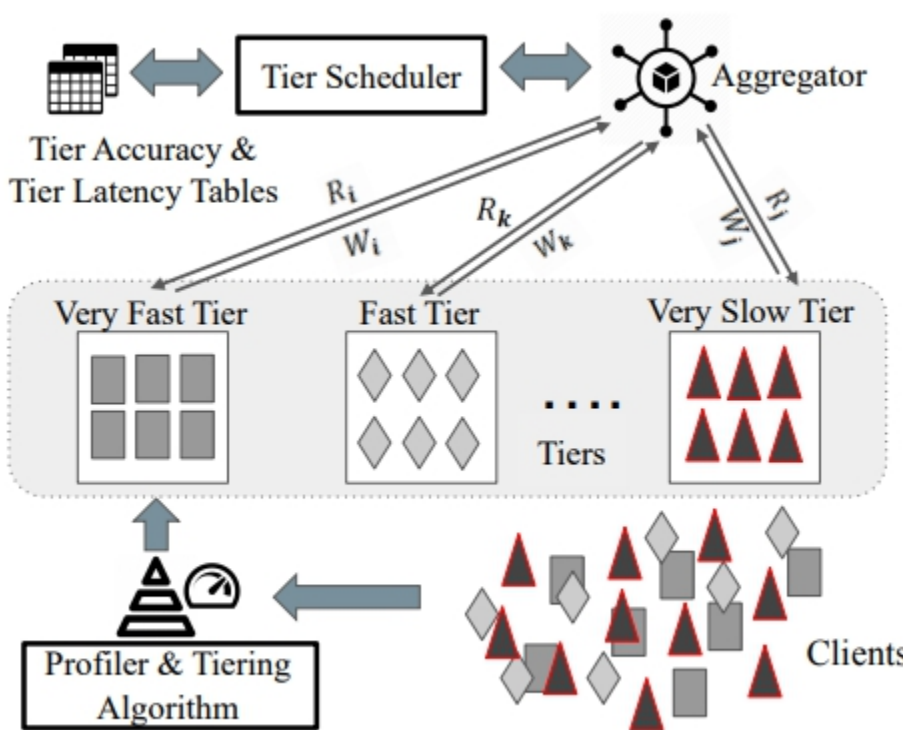


The server performs:

1. Receiving $\tilde{\mathbf{w}}_1, \dots, \tilde{\mathbf{w}}_m$ from all the m workers.
2. Updating model parameters:
$$\mathbf{w} \leftarrow \frac{1}{m} (\tilde{\mathbf{w}}_1 + \dots + \tilde{\mathbf{w}}_m).$$

Resource & data heterogeneity

TiFL: A Tier-based Federated Learning System

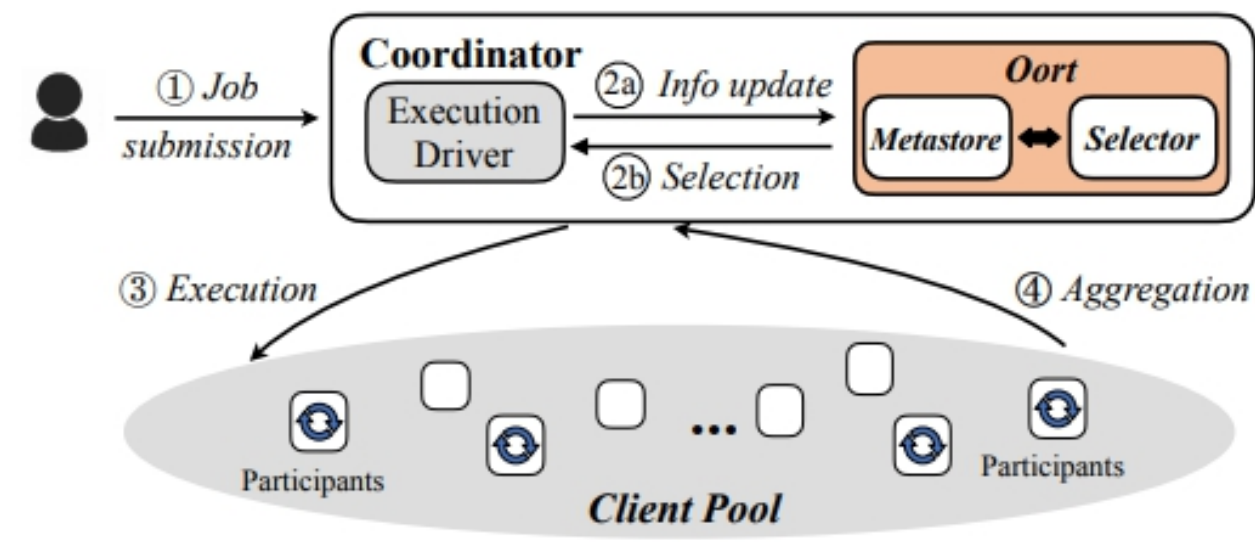


Algorithm 2 Adaptive Tier Selection Algorithm. $Credits_t$: the credits of Tier t , I : the interval of changing probabilities, $TestData_t$: evaluation dataset specific to that tier t , A_t^r : test accuracy of tier t at round r , τ : set of Tiers.

- 1: **Aggregator**: initialize weight w_0 , $currentTier = 1$, $TestData_t$, $Credits_t$, equal probability with $\frac{1}{T}$, for each tier t .
- 2: **for** each round $r = 0$ **to** $N - 1$ **do**
- 3: **if** $r \% I == 0$ and $r \geq 1$ **then**
- 4: **if** $A_{currentTier}^r \leq A_{currentTier}^{r-1}$ **then**
- 5: $NewProbs = ChangeProbs(A_1^r, A_2^r, \dots, A_T^r)$
- 6: **end if**
- 7: **end if**
- 8: **while** $True$ **do**
- 9: $currentTier = (select\ one\ tier\ from\ T\ tiers\ with\ NewProbs)$
- 10: **if** $Credits_{currentTier} > 0$ **then**
- 11: $Credits_{currentTier} = Credits_{currentTier} - 1$
- 12: **break**
- 13: **end if**
- 14: **end while**
- 15: $C_r = (random\ set\ of\ |C| \text{ clients from } currentTier)$
- 16: $Credits_{currentTier} = 1$
- 17: **for** each client $c \in C_r$ **in parallel do**
- 18: $w_c^r = TrainClient(c)$
- 19: $s_c = (training\ size\ of\ c)$
- 20: **end for**
- 21: $w_r = \sum_{c=1}^{|C|} w_{r+1}^c * \frac{s_c}{\sum_{c=1}^{|C|} s_c}$
- 22: **for** each t in τ **do**
- 23: $A_t^r = Eval(w_r, TestData_t)$
- 24: **end for**
- 25: **end for**

Resource & data heterogeneity

Oort: Informed Participant Selection for Scalable Federated Learning



Input: Client set \mathbb{C} , sample size K , exploitation factor ϵ , pacer step Δ , step window W , penalty α
Output: Participant set \mathbb{P}

- ```
/* Initialize global variables. */
1 $\mathbb{E} \leftarrow \emptyset$; $\mathbb{U} \leftarrow \emptyset$ \triangleright Explored clients and statistical utility.
2 $\mathbb{L} \leftarrow \emptyset$; $\mathbb{D} \leftarrow \emptyset$ \triangleright Last involved round and duration.
3 $R \leftarrow 0$; $T \leftarrow \Delta$ \triangleright Round counter and preferred round duration.

/* Participant selection for each round. */
4 Function SelectParticipant($\mathbb{C}, K, \epsilon, T, \alpha$)
5 $Util \leftarrow \emptyset$; $R \leftarrow R + 1$

/* Update and clip the feedback; blacklist outliers. */
6 UpdateWithFeedback($\mathbb{E}, \mathbb{U}, \mathbb{L}, \mathbb{D}$)

/* Pacer: Relaxes global system preference T if the
 statistical utility achieved decreases in last W rounds. */
7 if $\sum \mathbb{U}(R - 2W : R - W) > \sum \mathbb{U}(R - W : R)$ then
8 $T \leftarrow T + \Delta$

/* Exploitation #1: Calculate client utility. */
9 for client $i \in \mathbb{E}$ do
10 $Util(i) \leftarrow \mathbb{U}(i) + \sqrt{\frac{0.1 \log R}{L(i)}}$ \triangleright Temporal uncertainty.

 if $T < \mathbb{D}(i)$ then \triangleright Global system utility.
11 $Util(i) \leftarrow Util(i) \times (\frac{T}{\mathbb{D}(i)})^\alpha$
12 end for

/* Exploitation #2: admit clients with greater than $c\%$ of
 cut-off utility; then sample $(1 - \epsilon)K$ clients by utility. */
13 $Util \leftarrow SortAsc(Util)$
14 $\mathbb{W} \leftarrow CutOffUtil(\mathbb{E}, c \times Util((1 - \epsilon) \times K))$
15 $\mathbb{P} \leftarrow SampleByUtil(\mathbb{W}, Util, (1 - \epsilon) \times K)$

/* Exploration: sample unexplored clients by speed. */
16 $\mathbb{P} \leftarrow \mathbb{P} \cup SampleBySpeed(\mathbb{C} - \mathbb{E}, \epsilon \times K)$
17 return \mathbb{P}
```

## Challenges

Data form heterogeneity

- people usually mix all photos from their lives altogether, in which there might be selfies, scenic photos, vlogs, screenshots, etc.
- images with different number of faces also need to be processed differently.

Gender/race bias

- users tend to save photos of their own on their devices.
- the genders and races of people in the photos are more likely to be the same.

Emotion bias

- people mostly record their happy moments in their mobiles, while few would save images with negative emotions on purpose.

## References

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